

21986

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor Fritz WILHELM et al  
Patent App. 10/070,352 (US Nat'l phase of PCT/EP00/03994)  
Filed 19 October 2001  
For PROCESS FOR REDUCING POLYTRIMETHYLENE  
TEREPHTHALATE (PTT)

Hon. Commissioner of Patents  
Washington, DC 20231

**RECORD OF TRANSMITTAL--PCT APPLICATION**

- ☒ PCT Transmittal
- ☐ PCT Application
- ☐ Translation
- ☐ Sheets of Drawing (0)
- ☒ PCT Declaration
- ☐ PCT Documents
- ☐ International Search Report
- ☐ Preliminary Amendment
- ☐ Assignment
- ☒ Extension Request (1 month) with separate payment form
- ☒ PTO-2038 for Official Fees

☒ Late filing \$130.00

Total \$130.00

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

31 July 2002

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Bronx, NY 10471-0900  
Tel: (718) 884-6600  
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Customer No. 535  
rg

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

  
Herbert Dubno, Reg. 19,752

21986

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)  
10/070352

INTERNATIONAL APP. NO.  
PCT/EP00/03474

INTERNATIONAL FILING DATE  
17 April 2000

PRIORITY DATE CLAIMED  
22 April 1999

## TITLE OF INVENTION

PROCESS FOR REDUCING POLYTRIMETHYLENE TEREPHTHALATE (PTT)

APPLICANT(S) FOR DO/EO/US

Fritz WILHELM et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.

US Application no (if known) <b>10/070352</b>	International Application no. <b>PCT/EP00/03474</b>	Attorney's Docket No. <b>21986</b>
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17. The following fees are submitted:  
 Basic National Fee (37 CFR 1.492(a)(1)-(5):  
 Search report has been prepared by the EPO or JP ..... \$890.00  
 Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$710.00  
 No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)  
 but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$740.00  
 Neither int'l prel. exam fee (37 CFR 1.482) nor  
 int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1040.00  
 Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)  
 and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$100.00

**CALCULATIONS PTO USE ONLY**

ENTER APPROPRIATE BASIC FEE AMOUNT

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

**\$130**

CLAIMS	NO. FILED	NO. EXTRA	RATE		
Total claims					
Ind. claims					
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)					
TOTAL OF ABOVE CALCULATIONS				<b>\$130</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				<b>\$0</b>	
SUBTOTAL				<b>\$130</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				<b>\$130</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)					
TOTAL FEES ENCLOSED					
FREY1 00000063 10070352				Amt to be refunded	
130.00 OP				Amt to be charged	

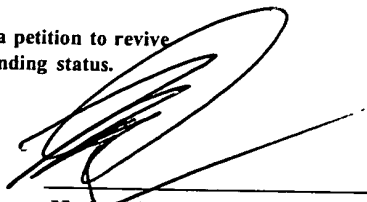
08/07/2002  
01 FC:154

- a. ☐ A check in the amount of \$ to cover the above fees is enclosed  
 b. ☐ Please charge my deposit account 18-2025 \$ to cover the above fees. A copy of this sheet is enclosed.  
 c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.  
 d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed  
 e. A PTO-2038 in the amount of \$ to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

**The Firm of Karl F. Ross P.C.**  
**5676 Riverdale Ave. Box 900**  
**Riverdale (Bronx), NY 10471**

  
 Herbert Dubno, Reg. No. 19,752

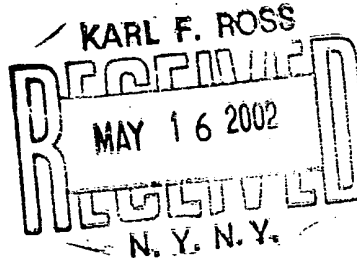


## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/070,352	FIRST NAMED APPLICANT Fritz Wilhelm	ATTY. DOCKET NO. 21986
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0535  
THE FIRM OF KARL F ROSS  
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INTERNATIONAL APPLICATION NO. PCT/EP00/03474	
I.A. FILING DATE 04/17/2000	PRIORITY DATE 04/22/1999

CONFIRMATION NO. 7078

371 FORMALITIES LETTER



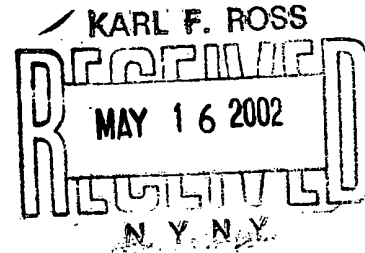
\*OC00000008046529\*

Date Mailed: 05/10/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,352	PCT/EP00/03474	21986

FORM PCT/DO/EO/905 (371 Formalities Notice)